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Alexander Zorin,
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**On the History of the Formation and Processing
of the Collection of the Tibetan Texts
from Khara-Khoto Kept at the IOM, RAS***

Abstract: The Tibetan manuscripts and block prints from Khara-Khoto that were passed to the Asiatic Museum with other texts brought by P.K. Kozlov from his Mongolia and Sichuan Expedition have been insufficiently studied. Their processing was initiated in the second half of the 1960s and continued in the Post-Soviet period. The collection of the Tibetan Texts from Khara-Khoto, according to our analysis, included a number of documents from other sources. Trying to understand why it took place, we looked for and found some archival documents that shed light on the history of the formation of this collection and, simultaneously, helped to clarify some general issues concerning the fate of texts brought by P.K. Kozlov from Khara-Khoto. This paper presents the results of our study of the documents found in the St. Petersburg Branch of the Archives of the Russian Academy of Sciences, the Archives of the IOM, RAS, the Russian Ethnographic Museum and the Russian Geographic Society. The description of the events is divided into two parts: the first one reconstructs the chronology of the process of transferring manuscripts and block prints of P.K. Kozlov's Expedition to the Asiatic Museum; the second one deals with the history of the processing of the Tibetan texts from Khara-Khoto starting from the 1920s and up to present, when the contents of the collection have been critically revised. The table that reflects the current state of the Collection of the Tibetan Texts from Khara-Khoto kept at the IOM, RAS is provided in the appendix.

Key words: Khara-Khoto, Tibetan manuscripts and block prints, P.K. Kozlov, S.F. Oldenburg, Russian Geographic Society, Russian Museum, Asiatic Museum, processing of manuscripts

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The history of Tangut studies started with the discovery of Khara-Khoto by Russian travelers Ts.G. Badmazhapov (1879–1937) and P.K. Kozlov (1863–1935) at the beginning of the 20th c.¹ The latter made the first excavations of the site and found a cache of ancient texts and Buddhist artefacts immured in the so-called “Famous” suburgan. The bulk of them were brought to St. Petersburg and are kept now at the IOM, RAS and the State Hermitage Museum.²

Tangut and Chinese texts from Khara-Khoto have been studied successfully by Russian and foreign scholars, and several catalogues and facsimile editions of many of them were published.³ The Tibetan part of Kozlov’s collection, by contrast, remains largely unknown for the academia. Formed as a separate unit of the Institute’s manuscripts gathering in 1967, it was first widely introduced by M.I. Vorobyova-Desyatovskaya in her brief English survey of the ancient Tibetan manuscripts kept in the IOM, RAS (formerly the St. Petersburg Branch of the Institute of Oriental Studies).⁴ An introductory article by K.M. Bogdanov can be mentioned, too,⁵ while the very first attempt at cataloguing this collection, made by G.A. Leonov in his graduation thesis supervised by B.I. Kuznetsov (1931–1985),⁶ was never published and is available only as an archival document in the IOM, RAS. This currently outdated catalogue dealt with the 70 items that had been numbered by A.S. Martynov (1933–2013) in the inventory book by the end of the 1960s. Since then, the number of items of the collection has significantly increased.

Meanwhile, the interest of the academia in the actual contents of this collection is rather high. It suffices to mention that one of the most famous Tibetan texts kept at the IOM, namely XT-67, belongs to it. This item seems to be one of the earliest dated blockprints in Tibetan; being preserved only

¹ KYCHANOV 2008, 130–131.

² Sir Marc Aurel Stein (1862–1943) who visited Khara-Khoto after P.K. Kozlov also found a number of texts there. The Tibetan ones kept at the British Museum were catalogued by the Japanese researchers Ts. Takeuchi and M. Iuchi (TAKEUCHI and IUCHI 2016).

Another collection of Tibetan documents from Khara-Khoto preserved at the Institute of Archeology of Inner Mongolia was introduced in the Institute’s combined catalogue of the documents written in languages of the national minorities of China (TALA et al. 2013). A special study of three documents from this collection was carried out in Japan (YOSHIDA and CHIMEDDORJI 2008, no. 103–105).

³ GORBACHEVA and KYCHANOV 1963; MEN’SNIKOV 1984; ECANG HEISHUICHENG WENXIAN 1996–2018; KYCHANOV 1999.

⁴ VOROBYOVA-DESYATOVSKAYA 1995.

⁵ BOGDANOV 2010.

⁶ LEONOV 1970.

partially, it has very close Chinese and Tangut equivalents that allow us to date it around 1149.⁷

Another important text from Khara-Khoto, the scroll that contains a collection of tantric texts on the cults of Mahākāla, Viṣṇu Narasiṅha, Vajrapāṇi and the eight Nāga Kings, was included mistakenly in the collection of the Tibetan texts from Dunhuang.⁸ At the same time, some manuscripts from Dunhuang were detected among the Tibetan texts from Khara-Khoto.⁹ Moreover, when we started our project aimed at the thorough cataloguing of the Tibetan texts from Khara-Khoto,¹⁰ we realized very soon that about one third of the entire collection could not be originated from this site. We had to try to understand why and how this mixture took place. Luckily enough, a series of documents found in several archives in St. Petersburg allowed us to reconstruct basically the course of events, although some important details are still not entirely clear.¹¹ This reconstruction is presented below, being divided into two stages: the first one relates to the transfer of entire Kozlov's collection to the Asiatic Museum (AM) while the second one to the subsequent processing of its Tibetan part.

⁷ There are several papers on XT-67 (SIRAI 2004; SHI 2005; DUAN 2010; etc.); the publication by Shen Weirong (SHEN 2010) is of particular importance as he was first to indicate the exact Chinese equivalent for the Tibetan text. We need to state, however, that his characteristic of Lev Men'shikov's catalogue of the Chinese manuscripts from Khara-Khoto is unfair and not correct: *As a piece of sinological work, this catalogue is certainly excellent in its own way. However, the author was not able to convey the full value of these texts for Buddhist studies. He did not pay enough attention to a great number of manuscripts with tantric Buddhist content. Instead he relegated all of them to the amorphous category of "indigenous works"* (SHEN 2010, 343). Everyone who can read Russian will see that Men'shikov did pay attention to such manuscripts and described them with many details in a special chapter called *The Tantric Texts (gāthā, dhāraṇī)* and some other chapters, too. The comparison of three versions of the block print is an important task that will be hopefully performed in the near future. At present collated Tangut, Chinese and Tibetan versions of Uṣṇīṣa Vijaya Dhāraṇī Sūtra, the second part of the edition in question, are available in the paper by Duan Yuquan (DUAN 2010). The study of Tangut and Chinese versions of the third part, namely a postscript (后序) written by the emperor Renzong 仁宗 (reigned 1139–1193), along with the above-mentioned second one were published by Lin Ying-chin (LIN 2011).

⁸ ZORIN 2015.

⁹ E.g. TAKEUCHI 1995.

¹⁰ Besides the authors of this paper the project team includes A.A. Turanskaya.

¹¹ The Russian version of our paper (ZORIN and SIZOVA 2019) contains an appendix with full texts of thirteen documents. All the essential quotations from them were translated by ourselves to be presented in this English paper but any interested reader is encouraged to check their full texts as well.

1. Transfer of the texts to the Asiatic Museum

It is well known that in the spring of 1908 some manuscripts were discovered during the first excavations at Khara-Khoto and Kozlov sent them to St. Petersburg. On October 15 (28) a special meeting of the Imperial Russian Geographic Society (IRGS) was held to discuss this finding and Kozlov was invoked to make new excavations. He returned to Khara-Khoto at the end of May 1909 and found the main textual and artistic treasures of the “dead city” in a suburgan situated at some distance from its walls. The excavations were completed on June 7 (20).¹²

In a letter to the Academy of Sciences sent from Irkutsk on August 27, 1909, Kozlov notified that the objects found by the Mongolian-Sichuan expedition had been dispatched by him to St. Petersburg and would arrive there in September.¹³ In St. Petersburg they were temporarily placed in the Building of the IRGS, and the first exhibition of finds from Khara-Khoto was held there in January and February 1910. During this initial period A.I. Ivanov (1877–1937) and V.L. Kotvich (1872–1944) sorted out a number of texts.¹⁴ The further destiny of the collections, including books, was yet to be settled, according to Kozlov’s letter to the Executive Secretary of the Imperial Academy of Sciences (IAS) S.F. Oldenburg on January 16, 1910: “It is not decided so far where the Kara-Khoto [things] will be taken to. I personally tend to prefer the Academy’s [Museum] Asiatic Museum. I hope you think the same way, don’t you?”¹⁵

However, the Khara-Khoto texts were moved first to the Ethnographic Department of the Russian Museum (EDRM; later transformed into a separate institution which is called now the Russian Museum of Ethnography). This decision was made by the Emperor Nicholas II as we learn from a letter of notification sent to the EDRM on March 4, 1910.¹⁶ The situation was

¹² KYCHANOV 2008, 131–132.

¹³ SPbB ARAS, coll. 152, inv. 1, item 50, f. 100.

¹⁴ The contribution of both scholars to the study of Kozlov’s collection is summarized in (KYCHANOV 2008, 133–135). V.L. Kotvich made a brief description of a few Mongolian materials (KOZLOV 1923, 561–565). A.A. Dostoevsky in one of his letters to Kozlov mentions some details on their work: “After some manuscripts are sorted out they are placed into a special closet in the warehouse. They say a lot of interesting things. I have seen Kotvich, perhaps 3 times, seated at the Society’s” (November 8, 1909) (Archive of the RGS: coll. 18, inv. 3, item 216, f. 28–29).

¹⁵ KOZLOV 1963, 454–455.

¹⁶ ARME, coll. 1, inv. 2, item 337, f. 2. Other letter (f. 3–4) mentions also an attempt of F.W. Radloff (1837–1918) to get the Kozlov’s collections into the possession of the Russian Committee for the study of Central and East Asia that he headed.

characterized by Kozlov in his letter to A.A. Dostoevsky:¹⁷ “The exhibition of the collections of the Mongolian-Sichuan expedition is closed. It is going to be dismantled. I ask you, as the secretary of the Geographical Society, to proceed basically as follows: 1) The entire Khara-Khoto collection is to be passed to the Museum of the Emperor Alexander III, with the exception of two Burkhans...”.¹⁸ The transfer obviously took some time and was not completed by April 2, 1910, when Dostoevsky complained to Kozlov: “Of course, the Museum has not sorted out anything in the collections nor even accepted them officially”.¹⁹

Soon after the EDRM did receive the Khara-Khoto findings its authorities announced their willingness to pass all the texts to the Asiatic Museum. On June 12, 1910, the Deputy Director of the Museum Count Dmitry I. Tolstoy (1860–1941) wrote to the IRGS: “Among the materials acquired by the Museum there is a gigantic number of manuscripts that, according to the unanimous opinion of the members of the Council of the Ethnographic Department, will be much more accessible for research if they are transferred to the institution that is specifically aimed to preserve such documents, namely the Asiatic Museum”.²⁰ Before this idea was declared, N.M. Mogilyansky²¹ had contacted S.F. Oldenburg and asked him to “prepare the ground for that the Council of the Imperial Geographical Society would not obstruct the EDRM’s request concerning the permission to transfer the above-mentioned Khara-Khoto manuscripts”.²² S.F. Oldenburg promised to promote this undertaking.²³

On July 14, 1910, the Council of the IRGS announced that it did not see “any obstacles for the transfer of the above-mentioned documents to the

¹⁷ Andrei Andreevich Dostoevsky (1863–1933), a nephew of the famous writer, was the IRGS Secretary for twelve years, from 1903.

¹⁸ KOZLOV 1963, 455–456. Before the revolution, the official name of the Russian Museum was the Russian Museum of His Imperial Majesty Alexander III.

¹⁹ Archive of the RGS, coll. 18, inv. 3, item 216, f. 34–35.

²⁰ ARME: coll. 1, inv. 2, item 337, f. 15.

²¹ Nikolai Mikhailovich Mogilyansky (1873–1933), an ethnographer and anthropologist, was the Head of the EDRM from 1910 to 1918.

²² SPbB ARAS, coll. 208, inv. 3, item 396, f. 2. Rough copy is kept at the ARME, coll. 1, inv. 2, item 337, f. 13.

²³ ARME, coll. 1, inv. 2, item 337, f. 14. It is worth noting that a year earlier, on February 27, 1910, N.M. Mogilyansky also asked S.F. Oldenburg for advice on the “collections of P.K. Kozlov brought from Khara-Khoto and transmitted by a decree of the Council of the IRGS to the Museum of Alexander III” (SPbB ARAS: coll. 208, inv. 3, item 396, f. 1). The details of this meeting, if it ever took place, are unknown to us.

Asiatic Museum and the [Russian] Museum should apply for the highest permission on that matter”.²⁴ This “highest permission” was given on March 5, 1911 when Nicholas II visited the Russian Museum.²⁵ This issue was discussed at the meeting of the Historical and Philological Department of the IAS on March 30, 1911. Carl Salemann (1850–1916), the Director of the AM, was asked to “get in touch with the curator of the Ethnographic Department of the [Russiam] Museum B.F. Adler”²⁶ to discuss the details of the transfer.

Thus, we can be sure that Kozlov’s materials could not be received by AM in June 1910, as was commonly assumed.²⁷ Luckily enough, the exact date of the transfer, April 20, 1911, turned out to be recorded in a note written by Carl Salemann.²⁸ This valuable archival document presents also a rough list of Khara-Khoto manuscript materials: the “chest No. 1 of the Russian Museum” contained “Sinica & Tangutica, Tg. — 7 packs, Mongolica — 1, assignation — 1 pack, Tibetan books, Sinica — 3 packs”. We can

²⁴ ARME, coll. 1, inv. 2, item 337, f. 18.

²⁵ It is quite possible that S.F. Oldenburg took part in this event, too, because N.M. Mogilyansky asked him about it in a letter dated January 2, 1911: “The second thing that is not less important — I would like to ask you, in case the Emperor will visit the Museum after January 15, to give Him the explanations about Khara-Khoto. It is necessary now to complete preparation of the remaining material for the exhibition in the nearest future and I kindly ask you to come to the Museum one of these days and instruct A.A. Miller on this matter” (SPbB ARAS, coll. 208, inv. 3, item 396, f. 3–3 verso).

The letter mentions an archeologist Alexander Miller (1875–1835) who worked at the Russian Museum from 1907 and headed it from 1919 to 1921.

²⁶ BULLETIN 1911. Bruno Friedrichovich Adler (1874–1942), a museum worker and ethnographer, worked at the EDM in 1910–1911.

²⁷ KYCHANOV 2008, 133. P.K. Kozlov in his own book on Khara-Khoto’s discovery rendered the sequence of events in a slightly abridged form: “In the autumn of 1909 all the finds of the Mongolian-Sichuan expedition were taken to St. Petersburg, to the premises of the Geographical Society. Soon, most of the collections from Khara-Khoto were moved to the Ethnographic Department of the Russian Museum, while the smaller part — books and manuscripts — to the Asiatic Museum of the Russian Academy of Sciences” (KOZLOV 1923, 560).

T.I. Iusupova published an excerpt of Kozlov’s letter to his wife E.V. Pushkareva where he described the visit of Nicholas II to the exhibition of Khara-Khoto collections at the EDM on March 5, 1911 and mentioned that the Emperor gave the permission for the transfer of the manuscripts to the AM (IUSUPOVA 2012, 486). As far as we know, T.I. Iusupova’s brief comment on this matter was the only correct indication upon the dating of the transfer of the manuscript collection to the AM made so far in academic literature.

²⁸ ARME, coll. 1, inv. 2, item 337, f. 25.

see from the list that some of the Tibetan texts were already sorted out at early stages.²⁹

On April 30, 1911 S.F. Oldenburg officially thanked the Russian Museum on behalf of the IAS “for the transfer of all the manuscript materials to the Asiatic Museum of the Academy”.³⁰

Although in December 1913, twenty-one more Tibetan books claimed to belong to “the Khara-Khoto collection of the Colonel P.K. Kozlov” were passed to the AM from the EDRM,³¹ they have no relation to Khara-Khoto being rather standard pothi books produced no earlier than in the 18th c. They were probably acquired by Kozlov from different sources during his expedition.

However, a few samples of Tibetan texts from Khara-Khoto remained in the EDRM and, in the early Soviet time, found their way to the State Hermitage Museum along with the numerous Tangut artefacts. In 1936, the academic secretary of the AM’s reincarnation, the Institute of Oriental Studies (IOS), the USSR Academy of Sciences, S.N. Muratov (1919–1994) applied to the authorities of the State Hermitage with a proposal to exchange two leaves with images of deities of the planets from the Institute’s collection for fragments of Tibetan texts kept at the Hermitage.³² We did not find any documents confirming the implementation of this proposal and, since the IOM Tangut collection still has two images of planets (inv. No. 8367) while the State Hermitage Museum still has several fragments of Tibetan texts, this exchange obviously did not take place.³³

²⁹ While the exhibition of the Mongolo-Sichuan expedition’s finds was held at the IRGS in January and February 1910, the eminent St. Petersburg photographer Carl Oswald Bulla (1855–1929) took some pictures of the exhibits. One of them depicts Tangut manuscripts piled up in a display case. In the lower right corner of the display case there is a vertically positioned folio of a book with some Tibetan text. The text and the sheet itself are quite illegible on the available prints (IUSUPOVA 2008, 125; KOZLOV 2015; Archive of RGS, coll. 17, inv. 7, item 1231). The doubtless identification of the text as Tibetan is based upon an imprint made for us from the negative on glass kept at the Central State Archive of Film, Photo-, and Phonographic Documents in St. Petersburg (call number Д 15832).

³⁰ ARME, coll. 1, inv. 2, item 337, f. 23.

³¹ See N.M. Mogilyansky’s letter about the transfer of these books dated December 20, 1913 (SPbB ARAS, coll. 152, inv. 1, item 54, f. 130), and their list (SPbB ARAS, coll. 152, inv. 1, item 55, f. 121).

³² The letter dated November 13, 1936 (SPbB ARAS, coll. 152, inv. 1a, item 480, f. 49).

³³ We are most grateful to K.F. Samosyuk, the curator of the collection of Chinese paintings, monuments of art and material culture from the Khara-Khoto, Kucha and Karashar oases at the State Hermitage Museum, for the consultation on this matter.

2. Processing Tibetan texts from Khara-Khoto

The World War I, to say nothing of the civil war in Russia, produced a lot of obstacles for the scholarly work. In September 1917, when the German occupation of Petrograd seemed absolutely real the Academy of Sciences decided to evacuate most valuable parts of its collections, including those from the AM, to Saratov University and the Moscow Historical Museum. In early October 1917, gold coins from the AM's rich numismatic collection, were transported to the latter.³⁴ From a Soviet book on the history of the Academy's Library we learnt that some books from the AM had been packed and transported to Saratov where they had been "kept unpacked at the University for 3 years... In 1920 the first portion returned to Petrograd, all other manuscripts were transferred from Saratov in 1921".³⁵ Surprisingly enough, we managed to learn more about the contents of the cases from an archival document entitled "Inventory of the manuscripts of the Asiatic Museum of the Russian Academy of Sciences packed for the evacuation". According to this inventory, case No. 21 (248) contained Chinese manuscripts and blockprints divided into 4 groups: 1) the Kozlov Collection, Nos. 1–19, 2) unsorted texts of the same collection; 3) the Collection of S.F. Oldenburg, 4) xylographs dated 947 (3 folios).³⁶

Soon after the Kozlov collection returned to the AM from Saratov, its processing continued as follows from the account of the AM activities in 1923: "Moreover, some convolutions and fragments in the Xia Xia language excavated and brought by P.K. Kozlov from Khara-Khoto were sorted out and arranged (see details below)".³⁷

Members of the Department of Far Eastern Studies took part in this work, first of all Konstantin K. Flug (1893–1942). According to the account of the AM activities in 1925 K.K. Flug "put manuscripts and blockprints from Khara-Khoto in an uniformed format system and registered 7,246 items".³⁸ We found an apparently earlier document composed by Flug himself (it is

³⁴ Basargina E.Yu., Kirikova O.A. *Khronika akademicheskoi zhizni v 1917 godu* [The Chronicle of the Academy's Life in 1917]: <http://www.ranar.spb.ru/rus/vystavki/id/751/>. Cf. "The list of packages accepted by the authorized official V.A. Ryshkov for depositing them in the Moscow Historical Museum" (SPbB ARAS, coll. 2, inv. 1, item 38, f. 49).

³⁵ FILIPPOV 1964: 317.

³⁶ SPbB ARAS, coll. 152, inv. 2, item 131, f. 30. According to a postscript to the document, the case returned to the AM on December 25, 1920.

³⁷ SPbB ARAS, coll. 152, inv. 1a, item 71, f. 8. Unfortunately, we failed to find these details in any archival documents.

³⁸ SPbB ARAS, coll. 152, inv. 1a, item 80, f. 12; it was quoted in POPOVA 2011: 238.

not signed but his handwriting is recognizable enough) and entitled “An Account of the work on systematizing the Xi-Xia books brought by Kozlov from Khara-Khoto”.³⁹ It records that the texts in Tangut (6778 items) were mechanically divided into seven groups by format and concludes with the phrase: “At present, the Tangut books of the Tibetan type are being processed in a similar way”. From this document we learn also that all the items processed were marked with the official AM stamp. This stamp can be still found on a number of Tangut texts but none of Tibetan texts has it.⁴⁰ Therefore, it can be assumed that only an initial sorting out of texts by format could be performed at that time.⁴¹

The next mention of Tibetan materials in the context of the Khara-Khoto collection is dated 1948. According to the account of the IOS Manuscript Department, Alexander A. Dragunov (1900–1955) made some preliminary work aimed at separating Tibetan, Chinese, and Sanskrit fragments from the main Tangut part.⁴² We do not know how many Tibetan texts were sorted out by A.A. Dragunov since he obviously left no inventories. It is possible, however, that his work facilitated the subsequent processing of the Tibetan part of the Khara-Khoto collection carried out by a Sinologist and Tibetologist Alexander S. Martynov (1933–2013) from late 1966 through 1967.

³⁹ SPbB ARAS, coll. 820, inv. 2, item 164, f. 157.

⁴⁰ With the exception of one item (call number XT-181) that consists of two Tibetan sheets extracted from the cover of a Tangut book to which this stamp actually belonged: the stamp indicates the language (“Xi-Xia”), format (B) and number (221).

⁴¹ K.K. Flug’s account is preserved in the SPbB ARAS within the personal archive of V.M. Alekseev, the eminent Russian and Soviet Sinologist, who headed the Department of Far Eastern Studies at that time. From his own document, a proposition addressed to the AM Director on June 11, 1924, we learn that quick mechanical division of books according to the format principle was imposed by the authorities of the Academy’s Library since the Asiatic Museum was located in its newly constructed building from the early 1920s up to the end of the 1940s (SPbB ARAS, coll. 820, inv. 2, item 164, f. 148). It certainly was not an optimal decision for the aims of Tangut studies. The situation changed quite soon when N.A. Nevsky initiated “a systematic and deeply scholarly analysis and study of the collection of Tangut manuscripts and blockprints kept at the Asiatic Museum. He was also the first to be entrusted with the compilation of the inventory of the collection” (GORBACHEV and KYCHANOV 1963, 14).

Another document from V.M. Alekseev’s personal archive shows the lack of uniformity of designations used for various parts of P.K. Kozlov’s collections until the 1920s. It is a reference list compiled by A.A. Dragunov and dated April 21, 1930, with information on some Tangut and Chinese books borrowed by A.I. Ivanov in 1915–1919. The following designations are used in its eleven paragraphs: “Cozlov Tangut”, “Tangutica Kozloviana”, “Kozlov Tangutica”, “Cozloviana” (3 times), “Kz.”, “Собр. Козлова” [“Collection of Kozlov”], “Колл. Козлова” [“Collection of Kozlov”], “Рукоп. Козлова” [“Manuscripts of Kozlov”], “Sinica Mat. Козлова” [“Sinica Materials of Kozlov”] (SPbB ARAS, coll. 820, inv. 2, item 164, f. 260).

⁴² SPbB ARAS, coll. 152, inv. 1a, item 987, f. 7–8, 14.

According to his account dated November 27, 1967 he numbered 63 items that “did not require preliminary conservation”.⁴³ Afterwards, he obviously added seven more texts since G.A. Leonov’s thesis (defended in 1970) dealt with 70 items, officially numbered for the first time by Martynov as the Collection of Tibetan texts from Khara-Khoto.⁴⁴ Clearly, it was Martynov who introduced the call numbers starting with the abbreviation XT, i.e. “Хара-Хото Тибет” [“Khara-Khoto Tibet”].

In the post-Soviet period the collection continued to grow. In 1995, M.I. Vorobyova-Desyatovskaya listed four groups of texts related to the Khara-Khoto collection: 1) call numbers XT-1–XT-70 from the inventory book of A.S. Martynov; 2) call numbers XT-71–XT-86 added as a result of the continuing sorting of Khara-Khoto materials; 3) about ten folios of the *Prajñāpāramitā* sutras extracted from the covers of Tangut books; 4) fragments marked as “Koz. 1–11”.⁴⁵

All the items mentioned in nos. 2–4 of this list and some others were included in the inventory book by S.S. Sabrukova who processed the collection in 2007–2008. Finally, in 2015–2017 A.A. Sizova added to the collection 21 items (XT-159–XT-179) that had been identified as those from Khara-Khoto by A.V. Zorin during the ongoing processing of the Tibetan collection of the IOM, RAS.⁴⁶

In the course of our project aimed at cataloguing the Tibetan texts from Khara-Khoto that started in 2018 we sorted out 39 items that had been apparently originated from other sources:

1) XT-73, a specimen of *pala* script along with some Tangut and Chinese characters, was transferred to the Tangut collection;⁴⁷

⁴³ SPbB ARAS, coll. 152, inv. 1a, item 1862, f. 36–37.

⁴⁴ Leonov noted that two texts were divided between two separate items each (XT-28 and XT-53; XT-64 and XT-67) and that XT-16 contained two different texts. Therefore, Leonov’s catalogue contained data on 69 texts. In fact, XT-16 contained three fragments, and two of them were later given individual inventory nos. 71 and 72, the call number remained the same but letters *a*, *b*, *c* were added to differentiate the three pieces inside of it. Our own analysis has shown that all the three used to belong to one manuscript and such differentiation was not necessary.

⁴⁵ Vorobyova-Desyatovskaya 1995, 47. In fact there are 12 fragments (Koz. 1–12), that correspond to actual call numbers as follows: Koz. 1 → XT-116; Koz. 2,3,4,5 → XT-113; Koz. 6,7 → XT-115; Koz. 8,9,10,11 → XT-114; Koz. 12 → XT-117.

⁴⁶ The reader should note that the call numbers and inventory numbers of the collection are not the same and they correspond to each other as follows: XT 1–70 — inv. 1–70; XT 16/2 — inv. 71; XT 16/3 — inv. 72; XT 71 — inv. 91; XT 72–78 — inv. 73–79; XT 79 — inv. 92; XT 80–90 — inv. 80–90; XT 91–158 — inv. 93–160; XT 159–161 — inv. 162–164; XT 162 — inv. 161; XT 163–179 — inv. 165–181.

⁴⁷ In the Tangut collection identical blockprints have call number Tang. 1109.

2) XT-89; 90; 104; 125 were transferred to the Tibetan collection, three of them being the 18th century Kalmyk manuscripts on Russian paper and one belonging to the very first Tibetan texts acquired by the IAS in the first half of the 18th c. as evidenced by a specific little black seal with a double-headed eagle;⁴⁸

3) XT-2; 4; 11; 12; 13; 14; 15; 17; 24; 29; 30; 31; 32; 33; 34; 35; 39; 46; 50; 52; 56; 57; 70; 77; 80; 81; 82; 83; 84; 85; 86; 92; 97; 108 — manuscripts from Dunhuang judging by paper and some paleographic features (although we are not absolutely sure in regard of some of them).

The Khara-Khoto collection contains also a number of items that, according to their paleographic features, were certainly produced after the 14th c. which is the upper bound for the dating of Khara-Khoto objects related to the Tangut period: XT-20; 26(?); 37; 38; 40; 41; 42; 43; 45;⁴⁹ 48; 49; 51; 59; 69; 71; 79; 91; 94; 98; 132; 134(?). However, it cannot be excluded that they could be brought by Kozlov from Khara-Khoto or nearby places so we decided to leave these materials inside the collection until we find any convincing evidence that they have nothing to do with the Kozlov expedition. In the catalogue they will be presented in an appendix.

Finally, in addition to the numbered items, the repository contained a few unprocessed fragments from both Khara-Khoto and Dunhuang. As was said before, two dozens of Dunhuang pieces were officially included in the Khara-Khoto collection while one Khara-Khoto scroll, on the contrary, in the Dunhuang collection. Without doubt, the two collections were mixed up to some extent and, apparently, it took place before the World War II because several Chinese texts from Khara-Khoto turned out to be inventoried by K.K. Flug (who died in besieged Leningrad in 1942) as manuscripts from Dunhuang.⁵⁰ We do not know exactly when the mixture of the two collections happened. It is very likely that initially it took place when the Kozlov and Oldenburg collections were put together in one case and sent to Saratov in a haste (the decision about the evacuation was made on September 2, 1917, and the train left Leningrad on October 10). The further moves

⁴⁸ These texts turned out to be added to the Khara-Khoto collection because many unprocessed materials from various sources that *looked old* had been obviously put together and mixed this way at a certain point in the Soviet time. S.S. Sabrukova had to interrupt her work when she noted the discrepancy of some manuscripts with Khara-Khoto specimens. The folios that raised her suspicion were transferred to the Tibetan collection and many of them, after detailed examination, were identified as those obtained by the IAS in the 18th c.

⁴⁹ This manuscript seems to be a fake ancient text.

⁵⁰ MEN'SHIKOV 1984, 6, 411.

of the AM to the new building of the Academy's Library in 1925 and then, of the IOS, to the Novo-Mikhailovsky Palace in 1949–1950 were also potentially dangerous in this respect.⁵¹ The full-scale inventory of the collections from Central Asia started only in the second half of the 1950s.

In October 2018, it was decided to transfer all the newly recognized Dunhuang materials to the Dunhuang collection (Дх. Тиб. 222–255, inv. Nos. 222–255) while the scroll that previously had call number Дх. Тиб. 178 from the Dunhuang collection to the Khara-Khoto one (new call number: ХТ-194, inv. 196). The inventory numbers and call numbers that used to belong to these items were left vacant. A few previously unprocessed pieces were also added to the two collections (ХТ-180–193, inv. Nos. 182–195; Дх. Тиб. 256–265, inv. Nos. 256–265).

These changes have resulted in the following situation:

— the collection of Tibetan manuscripts from Dunhuang consists of 263 items: call numbers from Дх. Тиб. 1 to Дх. Тиб. 265, with vacant numbers 24 and 178;

— the collection of Tibetan texts from Khara-Khoto consists of 147 items: call numbers from ХТ-1 to ХТ-194, with 39 vacant numbers and 8 numbers merged into some others (see Appendix).

This preliminary inspection and new arrangement of two collections of ancient texts in Tibetan that are preserved in the IOM, RAS are essential for the accomplishment of our main goal, the compilation of the catalogue of Tibetan texts from Khara-Khoto and, additionally, the introduction of previously unpublished texts from Dunhuang. At the same time, the study of the archival documents allowed us to clarify some significant moments in the history of P.K. Kozlov's collection. The exact date of its transfer to the Asiatic Museum — April 20 (May 3), 1911 — the fact that it was sent to Saratov along with Oldenburg's collection in October 1917 so that parts of the two collections could be mixed up for the first time seem to be of special importance.

⁵¹ Notably, an act concerning some internal transfer of the materials within the Manuscript Department (dated May 31, 1962) stated that “during the inspection of 1956 the [Khara-Khoto] collection was registered among the unprocessed materials of the Dunhuang collection. The non-inventoried materials include 37 boxes and 1 pack of fragments” (SPbB ARAS, coll. 152, inv. 1a, item 1503, f. 20).

Appendix

Table showing the current state of the IOM collection of the Tibetan texts from Khara-Khoto

Legend: → moving or merging; lp — “later period”; TC — collection of Tibetan blockprints and manuscripts; Tang. — Tangut collection. Invalid call numbers are italicized.

xt 1		xt 51	lp	xt 101		xt 151	
xt 2	→ Дх. Тиб. 222	xt 52	→ Дх. Тиб. 241	xt 102		xt 152	
xt 3		xt 53		xt 103		xt 153	
xt 4	→ Дх. Тиб. 223	xt 54		xt 104	→ TC	xt 154	
xt 5		xt 55		xt 105		xt 155	
xt 6		xt 56	→ Дх. Тиб. 242	xt 106		xt 156	
xt 7		xt 57	→ Дх. Тиб. 243	xt 107		xt 157	
xt 8		xt 58		xt 108	→ Дх. Тиб. 255	xt 158	
xt 9		xt 59	lp	xt 109		xt 159	→ xt 126
xt 10		xt 60		xt 110		xt 160	
xt 11	→ Дх. Тиб. 224	xt 61		xt 111		xt 161	
xt 12	→ Дх. Тиб. 225	xt 62		xt 112		xt 162	
xt 13	→ Дх. Тиб. 226	xt 63		xt 113		xt 163	→ xt 16
xt 14	→ Дх. Тиб. 227	xt 64	→ xt 67	xt 114		xt 164	→ xt 16
xt 15	→ Дх. Тиб. 228	xt 65		xt 115		xt 165	
xt 16		xt 66		xt 116		xt 166	
xt 17	→ Дх. Тиб. 229	xt 67		xt 117		xt 167	
xt 18		xt 68	→ xt 63	xt 118		xt 168	
xt 19		xt 69	lp	xt 119		xt 169	
xt 20	lp	xt 70	→ Дх. Тиб. 244	xt 120		xt 170	
xt 21		xt 71	lp	xt 121		xt 171	
xt 22		xt 72		xt 122		xt 172	
xt 23		xt 73	→ Tang.	xt 123		xt 173	
xt 24	→ Дх. Тиб. 230	xt 74		xt 124		xt 174	
xt 25		xt 75		xt 125	→ TC	xt 175	
xt 26	lp	xt 76		xt 126		xt 176	→ xt 94
xt 27		xt 77	→ Дх. Тиб. 245	xt 127		xt 177	
xt 28		xt 78		xt 128		xt 178	
xt 29	→ Дх. Тиб. 231	xt 79	lp	xt 129		xt 179	
xt 30	→ Дх. Тиб. 232	xt 80	→ Дх. Тиб. 246	xt 130		xt 180	
xt 31	→ Дх. Тиб. 233	xt 81	→ Дх. Тиб. 247	xt 131		xt 181	
xt 32	→ Дх. Тиб. 234	xt 82	→ Дх. Тиб. 248	xt 132	lp	xt 182	
xt 33	→ Дх. Тиб. 235	xt 83	→ Дх. Тиб. 249	xt 133		xt 183	
xt 34	→ Дх. Тиб. 236	xt 84	→ Дх. Тиб. 250	xt 134	lp	xt 184	
xt 35	→ Дх. Тиб. 237	xt 85	→ Дх. Тиб. 251	xt 135		xt 185	
xt 36		xt 86	→ Дх. Тиб. 252	xt 136		xt 186	
xt 37	lp	xt 87		xt 137		xt 187	
xt 38	lp	xt 88		xt 138		xt 188	
xt 39	→ Дх. Тиб. 238	xt 89	→ TC	xt 139		xt 189	→ xt 126
xt 40	lp	xt 90	→ TC	xt 140	→ xt 94	xt 190	
xt 41	lp	xt 91	lp	xt 141		xt 191	
xt 42	lp	xt 92	→ Дх. Тиб. 253	xt 142		xt 192	
xt 43	lp	xt 93		xt 143		xt 193	
xt 44		xt 94	lp	xt 144		xt 194	← Дх. Тиб. 178
xt 45	lp	xt 95		xt 145			
xt 46	→ Дх. Тиб. 239	xt 96		xt 146			
xt 47		xt 97	→ Дх. Тиб. 254	xt 147			
xt 48	lp	xt 98	lp	xt 148			
xt 49	lp	xt 99		xt 149			
xt 50	→ Дх. Тиб. 240	xt 100		xt 150			

Abbreviations

AM: Asiatic Museum

ARME: Archive of the Russian Museum of Ethnography

coll.: collection (in references to archival materials stands for *фонд*)

EDRM: Ethnographic Department of the Russian Museum

inv.: inventory (in references to archival materials stands for *опись*)

IOM, RAS: Institute of Oriental Manuscript of the Russian Academy of Sciences

IRGS: Imperial Russian Geographical Society

RGS: Russian Geographical Society

SPbB ARAS: St. Petersburg Branch of the Archive of the Russian Academy of Sciences

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